

09/29/03



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
UTILITY PATENT APPLICATION TRANSMITTAL LETTER

Attorney Docket No. S004-3821(PCT) (DIV2)  
Mailing Date: **SEPTEMBER 29, 2003**

Express Mail No. **ER 092 778 068 US**

MS PATENT APPLICATION  
COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) is a  
Nonprovisional Utility Patent:

\_\_\_ New Application; or a \_\_\_ Continuation, X Division,  
\_\_\_ Continuation-in-Part (CIP) Application of prior US  
application No. 10/199,870 filed on July 19, 2002; of

Inventors: (1) Nobuyuki KASAMA (4) Manabu OUMI  
(2) Norio CHIBA (5) Kenji KATO  
(3) Yasuyuki MITSUOKA (6) Takashi NIWA  
(7) Kunio NAKAJIMA

For(Title): RECORDING APPARATUS

This transmittal letter has 3 pages.

Enclosed are:

- X Enclosed are 20 sheets of drawings, along with 96 pages of specification, claims and abstract Forms PCT/RO/101, PCT/LB/301, PCT/LB/308 and PCT/ISA/210 and patent cover page.
- X Oath or Declaration Combined with Power of Attorney (3 pages)
- X Newly Executed (original or copy)
- X Copy from a prior application (if this is a Continuation/Division with no new matter)
- \_\_\_ Signed statement deleting named inventor(s) in prior application if this is a Continuation/Division (See 37 CFR 1.63(d) and 1.33(b)).
- \_\_\_ A certified copy of a \_\_\_ (non-US) application No. \_\_\_, having a filing date of \_\_\_.
- \_\_\_ An Assignment Transmittal Letter and Assignment of the invention.

03914 U.S. PTO  
10/674088



A verified statement to establish small entity status under  
35 CFR 1.9 and 1.27  
       is enclosed

       was filed in prior application and such status is  
still proper and desired (37 CFR 1.28(a)).

       An information Disclosure Statement, with Form PTO-1449.

XX PRELIMINARY AMENDMENT CANCELING CLAIMS TO REDUCE FILING FEE

XX SECOND PRELIMINARY AMENDMENT

X Return Receipt Postcard.

Instructions:

X Incorporation by Reference (for Continuation/Division  
application). The entire disclosure of the prior application,  
from which a copy of the oath or declaration is supplied, is  
considered as being part of the disclosure of the accompanying  
application and is hereby incorporated by reference therein.

X Since the present application is based on a prior U.S.  
application, please amend the specification by adding the  
following sentence before the first sentence of the  
specification:

"The present application is based on prior parent US application  
Serial No. 10/199,870, filed on July 19, 2002, which is a Rule  
53(b) division of grandparent application Serial No. 09/423,848  
filed February 20, 2001 (now Patent No. 6,466,537 issued October  
15, 2002) which is hereby incorporated by reference, and priority  
thereto for common subject matter is hereby claimed."

X Priority of Application Nos. 10-072786 filed on March 20,  
1998; 10-291142 filed on October 13, 1998; 10-302266 filed on  
October 23, 1998; and 11-016202 filed on January 25, 1999 in  
Japan is claimed under 35 U.S.C. §119. The certified copies

       has not been filed.

       is enclosed.

X were filed in grandparent application Serial No.  
09/423,848 (now Patent No. 6,466,537).

X The prior application is assigned of record to  
SEIKO INSTRUMENTS INC.

X The filing fee is calculated as follows:

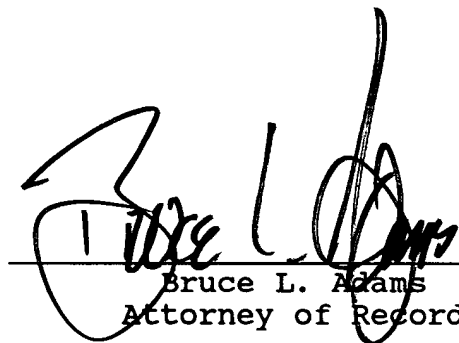
CLAIMS AS FILED, LESS ANY CANCELED BY AMENDMENT

<u>Fee</u>	<u>Number Filed</u>	<u>Number Extra</u>	<u>Fee for Small Entity Fee</u>	<u>Fee for other than Small Entity Fee</u>
Basic fee	1	0	\$	\$750.00
Independent Claims	1	1	\$	
<u>Total</u>			<u>\$</u>	<u>Total \$750.00</u>

X Check in the amount of \$750.00 for the filing fee as calculated above is enclosed.

X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 01-0268. A duplicate copy of this sheet is enclosed.

SEPTEMBER 29, 2003

  
\_\_\_\_\_  
Bruce L. Adams  
Attorney of Record

Please forward all correspondences to:

Bruce L. Adams  
Adams & Wilks  
50 Broadway, 31st Floor  
New York, NY 10004  
(212) 809-3700

Re: New patent application of Nobuyuki KASAMA et al.  
for RECORDING APPARATUS

comprising transmittal letter, title, specification, claims and abstract (96 pgs.), declaration and power of attorney, 20 sheets of formal drawings containing thereon Figs. 1-28, preliminary amendment canceling claims to reduce filing fee, second preliminary amendment, Forms PCT/RO/101, PCT/lB/301, PCT/lB/308 and PCT/ISA/210 and patent cover page, express mail certification and check in the amount \$750.00

Attorney's Docket No: S004-3821(PCT) (DIV2)

**EXPRESS MAIL CERTIFICATION UNDER 37 CFR §1.10**

Express Mail Tracking Number: **ER 092 778 068 US**

Date of Mailing: **SEPTEMBER 29, 2003**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to MS PATENT APPLICATION, COMMISSIONER FOR PATENTS, Box 1450, ALEXANDRA, VA, 22313-1450.

  
DONNA RICCARDULLI